

### **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

Color Imaging System and Methods

Application Number:

09/736135

Confirmation Number:

8143

First Named Applicant:

Kristina Johnson

**Attorney Docket Number:** 

Art Unit:

2851

Examiner:

William C. Dowling

Search string:

( 5032007 or 5179459 or 5237435 or 5299039 or 5422756 or 5574580 or 5585950 or 5608551

or 5422756 or 5574560 or 5585950 or 5608551 or 2638816 or 5658490 or 5400095 or 5353075 or 4995702 or 5231432 or 5355188 or 5321450 or 4416514 or 4786964 or 4808501 or 5381253 or 5510861 or 5124818 or 4786146 or 5500523 or 5337174 or 5739881 or 5777709 or 5220447 or 5369513 or 5892612 or 6096375 or 4536063

or 5472538 or 6156433 or 6004417 or 5571567 or 5888603 or 5751384 or 5534949 or 6419362 or 6388718 or 6280034 or 6390626 or 6217174

or 5990996 or 5528393 or 5469279 or 5122887 or 4575193 or 2493200 or 4367924 or 4582396 or 4232948 or 4003081 or 4019808 or 4758818

or 5347378 or 5243455 or 5050965 or 5686931 or 4448823 or 4025164 or 5126864 or 4497543

or 4770500 or 4834508 or 4867536 or 5033825 or 4652087 or 4635051 or 4759612 or 4770525 or 4796978 or 4917464 or 4917465 or 4966441

or 4668086 or 5774264 or 5249071 or 5619355 or 5295009 or 5235443 or 5689317 or 3967881 or 5268782 or 5559634 or 5833360 or 4566761

or 4685773 or 6113239 or 5565933 or 5111315

or 5374968 ).pn.

#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	-
JUD.	1	5032007	1991-07-16	Silverstein, et al.		350	335	

8/21/03

page I of 4

JUL 30 2033
TECHNOLOGY CENTER 2800

					^	
. (۵		•		· &	265	
(3)				Mor	43 1/1/2	
M	2	5179459	1993-01-12	Plesinger	\$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	74
	3	5237435	1993-08-17	Kurematsu et al.	359	4
H	4	5299039	1994-03-29	Bohannon	20359	5:
胩	5	5422756	1995-06-06	Weber	359	48
#	6	5574580	1996-11-12	Ansley	359	4
#	7	5585950	1996-12-17	Nishino et al.	349	11
#	8	5608551	1997-03-04	Biles et al.	359	9:
╠	9	2638816	1953-05-19	Stolzer	88	6
-	10	5658490	1997-08-19	Sharp et al.	252	299
-	11	5400095	1995-03-21	Minich et al.	353	11
-	12	5353075	1994-10-04	Connor et al.	353	12
H	13	4995702	1991-02-26	Aruga	349	8
-	14	5231432	1993-07-27	Glenn	353	31
	15	5355188	1994-10-11	Biles et al.	353	69
	16	5321450	1994-06-14	Sharpiro et al.	353	11
-	17	4416514	1982-11-22	Plummer	349	80
1	18	4786964	1988-11-22	Plummer	348	27
	19	4808501	1989-02-28	Chiulli	430	7
	20	5381253	1995-01-10	Sharp et al.	349	18
	21	5510861	1996-04-23	Minich	353	11
	22	5124818	1992-06-23	Conner	359	53
	23	4786146	1988-11-22	Ledebuhr	350	331
	24	5500523	1996-03-19	Hamanaka	250	21
	25	5337174	1994-08-09	Wada et al.	359	73
	26	5739881	1998-04-14	Xu et al.	349	11
	27	5777709	1998-07-07	Xu et al.	349	120
	28	5220447	1993-06-15	Yokokura et al.	359	93
	29	5369513	1994-11-29	Akatsuka et al.	359	73
	30	5892612	2003-04-06	Miller et al.	359	250
	31	6096375	2000-08-01	Ouderkirk et al.	427	163
	32	4536063	1985-08-20	Southwell, William H.	359	488
	33	5472538	1995-12-05	Minakuchi et al.	156	85
$\Box$	34	6156433	2000-12-05	Hatori et al.	428	411
	35	6004417	1999-12-21	Roesch et al.	156	155
	36	5571567	1996-11-05	Shah, Tilak M.	427	379
Ŋ	37	_ 5888603_	_1999-03-30_	Fergason, James-L.	-428-	-46

( <u>[</u> ):359	74
250	41
359	53
359	487
359	41
349	118
359	95
88	61
252	299.01
353	119
353	122
349	8
353	31
353	69
353	119
349	80
348	270
430	7
349	18
353	119
359	53
350	33IR
250	216
359	73
349	118
349	120
<b>35</b> 9	93
<b>35</b> 9	73
359	250
427	163.1
359	488
156	85
428	411.1
156	155
427	379
-428-	<del>46</del>
1 7	1.1

8/2/03

OIL 2 1-2019

	<b>D</b> 38	5751384	1998-05-12	Sharp
	39	5534949	1996-07-09	Baron
IT	40	6419362	2002-07-16	lkeda et al.
	41	6388718	2002-05-14	Yoo et al.
	42	6280034	2001-08-28	Brennesholtz, Matthew S.
置	43	6390626	2002-05-21	Knox, Richard M.
	44	6217174	2001-04-17	Knox, Richard M.
	45	5990996	1999-11-23	Sharp
	46	5528393	1996-06-18	Sharp et al.
H	47	5469279	1995-11-21	Sharp et al.
計	48	5122887	1992-06-16	Mathewson
	49	4575193	1986-03-11	Greivenkamp, Jr.
	50	2493200	1959-01-03	Land
	51	4367924	1983-01-11	Clark et al.
	52	4582396	1986-04-15	Bos et al.
	53	4232948	1980-11-11	Shanks
	54	4003081	1977-01-11	Hilsum et al.
	55	4019808	1977-04-26	Scheffer
	56	4758818	1988-07-19	Vatne
	57	5347378	1994-09-13	Handschy et al.
	58	5243455	1993-09-07	Johnson et al.
	59	5050965	1991-09-24	Conner et al.
	60	5686931	1997-11-11	Funfschilling et al.
	61	4448823	1984-05-15	Clifford
	62	4025164	1977-05-24	Doriguzzi et al.
	63	5126864	1977-06-30	Akiyama et al.
	64	4497543	1985-02-05	Aoki et al.
	65	4770500	1988-09-13	Kalmanash et al.
	66	4834508	1989-05-30	Fergason
	67	4867536	1989-09-19	Pidsosny et al.
	68	5033825	1991-07-23	Ishikawa et al.
	69	4652087	1987-03-24	Bos et al.
	70	4635051	1987-01-06	Bos et al.
	71	4759612	1988-07-26	Nakatsuka et al.
	72	4770525	1988-09-13	Umeda et al.
Ш	73	4796978	1989-01-10	Tanaka_et_al
S	74	4917464	1990-04-17	Conner

349	18		
348	742		
353	20		
349	9		
353	20		
353	20		
353	31		
349	119		
349	74		
349	74		
349	80		
359	495		
359	250		
350	334		
350			
$\vdash$	347		
350	347		
358	64		
350	160 LC		
340	701		
359	53		
359	93		
359	53		
345	88		
428	1		
350	160 LC		
350	337		
350	337		
350	347 E		
350	339 F		
350	337		
350	339		
350	332		
340	757		
350	337		
353	122		
-350-	337		
350	335		

APP\_ID=09736135

8/21/03

JUL 2 1 2003 E

	Ľ	╗	76	1017105	4000 04 47	2
) Y	<u>1</u>	لإ	75	4917465	1990-04-17	Conner et al.
1			76	4966441	1990-10-30	Conner
			77	4668086	1987-05-26	Redner
			78	5774264	1998-06-30	Konno et al.
			79	5249071	1993-09-28	Yoshimizu et al.
			80	5619355	1997-04-08	Sharp et al.
			81	5295009	1994-03-15	Barnik et al.
			82	5235443	1993-08-10	Bamik et al.
			83	5689317	1997-11-18	Miller
		]	84	3967881	1996-07-16	Moriyama
	I		85	5268782	1993-12-07	Wenz et al.
		brack	86	5559634	1996-09-24	Weber
1			87	5833360	1998-11-10	Knox
			88	4566761	1986-01-28	Carlsen et al.
1			89	4685773	1987-08-11	Carlsen et al.
	1		90	6113239	2000-09-05	Sampsell et al.
			91	5565933	1992-06-08	Reinsch
	I	]	92	5111315	1992-05-05	Ledebuhr
J	Q		93	5374968	1994-12-20	Haven et al.

350	335
350	335
356	33
359	497
359	63
349	78
359	65
359	37
349	97
350	150
359	81
359	638
362	293
350	401
350	401
353	31
348	742
349	5
353	31

# Signature

Examiner Name	<b>Date</b>
Mowling	8/21/03

RECEIVED

JUL 30 2003

-TECHIOLOGY CENTER 2800

PTO/SB/08A (02-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

e Paperwork Reduction Act of 1995, no persons are required to respond o a collection of information unless it contains a valid OM8 control number.

Application Number

Substitute for form 1449/PTO

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Filing Date 12/15/2000 First Named Inventor Johnson Art Unit 2851 Examiner Name W. Dowling

Complet if Known

09/736,135

Attorney Docket Number 95121961.114002

	- A		U. S. PATENT D		<del></del>
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan
		Number-Kind Code <sup>2 (f known)</sup>	<del> </del>  -		Figures Appear
		US-			ł
		US-			
		US-	1	<del></del>	
		US-			<del></del>
		US-	†	-	<del> </del>
		US-			
		US-		<del></del>	<del>                                     </del>
-		US-		<del></del>	<del>                                     </del>
		υs-		<del></del>	<del> </del>
<del></del>		US-		<del></del>	<del> </del>
		US-		<del></del>	
		US-		<del></del>	<del> </del>
		US-	†	······································	<del>                                     </del>
		US-	<del></del>	<del></del>	<del></del>

		FORE	IGN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T*
W		DE 4029838	03-28-1991	Hirakata et al.		
		JP 0121379	04-28-2000	Keizo et al.		
		JP 4022920	01-27-1992	Akishi et al.		
		WO95/26110	09-28-1995	Zavracky et al.		
I		EP 553850A1	08-04-1993	Kawakami et al.		
aw		WO90/09614	08-23-1990	Dahl et al.		

						_ /	
Examiner Signature	M	) bW		Date Considered	2/2	4/04	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent'-and-Trademark: Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTO/SB/08(7(02-03) Approved for use through 04/30/2003. OMB 065/30031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Complete if Known

09/736,135 12/15/2000

der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number,

Filing Date

Substitute for form 1449/PTO

Sheet 2

### INFORM STATE

MATION DISCLOSURE		12/10/2000
	First Named Inventor	Johnson
MENT BY APPLICANT	Art Unit	2851
lse as many sheets as necessary)	Examiner Name	W. Dowling
of 6	Attorney Docket Number	95121961.114002

Application Number

U. S. PATENT DOCUMENTS Name of Patentee or Pages Columns, Lines, Where Publication Date MM-DD-YYYY Examiner Cite **Document Number** Relevant Passages or Relevant Applicant of Cited Document Initials\* No. Figures Appear Number-Kind Code<sup>2</sup> (# Anown) US-ÚS. US US-US US US-US-US. LIS ŪS-US-US-US-US-US-บระ

	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
	Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>3</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	۲
	JP 56-137307A	10-27-1981	Teruhito		
	JP 63-182987A	07-28-1988	Mizuho	T	Г
	JP 03-028823A	02-07-1991	Yasutaka et al.		
	JP 04-207774A	07-29-1992	Hiroshi	İ	
	JP 7-84218	03-31-1995	No name		
		No.¹  Country Code³ Number ⁴ Kind Code³ (if known)  JP 56-137307A  JP 63-182987A  JP 03-028823A  JP 04-207774A	No.¹         Date MM-DD-YYYY           Country Code³ Number⁴ Kind Code³ (if known)         10-27-1981           JP 63-182987A         07-28-1988           JP 03-028823A         02-07-1991           JP 04-207774A         07-29-1992	No.¹         Date MM-DD-YYYY         Applicant of Cited Document MM-DD-YYYY           JP 56-137307A         10-27-1981         Teruhito           JP 63-182987A         07-28-1988         Mizuho           JP 03-028823A         02-07-1991         Yasutaka et al.           JP 04-207774A         07-29-1992         Hiroshi	No.¹         Date MM-DD-YYYY         Applicant of Cited Document Melevant Passages Or Relevant Figures Appear           JP 56-137307A         10-27-1981         Teruhito           JP 63-182987A         07-28-1988         Mizuho           JP 03-028823A         02-07-1991         Yasutaka et al.           JP 04-207774A         07-29-1992         Hiroshi

Examiner Signature	mina	Date Considered	2/24/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.go/">www.uspto.go/</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief-Information-Officer, U.S. Patent-and-Trademark-Office-U.S.-Department of Commerce\_Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTO/SE/08B (02-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known **Application Number** 09/736,135 Filing Date INFORMATION DISCLOSURE 12/15/2000 STATEMENT BY APPLICANT First Named Inventor Johnson Art Unit 2851 (Use as many sheets as necessary) **Examiner Name** Dowling Attorney Docket Number 6 95121961.114002

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials* No.'		the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
Qc		U.S. application No. 07/967,218, Sharp et al., filed Oct. 27, 1992.	
1		John Wiley & Sons, "Optical Waves In Layered Media," Pochi Yeh, A. Wiley-Interscience Publication (1988).	_
		Pezzaniti, J.L. and Chipman, R.A., "Phase-only modulation of a twisted nematic liquid crystal TV by use of the eigenpolarization states," Optics Letters, vol. 18, No. 18 (1993), pp. 1567-2569.	
		Shannon, P.J., et, al., "Patterned Optical Properties in PhotoPolymerized Surface-Aligned Liquid-Crystal Films", Nature (1994), vol. 368, pp. 532-533.	
		Schmidt, Martin, et. al., "Photo-Generation of Linearly Polymerized Liquid Crystal Aligning Layers Comprising Novel, Integrated Optically Patterned Retarders and Color Filters," Jpn. J. Appl. Phys. (1995), vol. 34 pp. 3240-3249.	
		Sharp, Gary Dean. "Chiral smectic liquid crystal tunable optical fibers and modulators", 1992.	
		Scheffer, T.J., "New multicolor liquid crystal displays that use a twisted nematic electro-optical cell, "J., Appl. Phys. (1973) 44(11):4799–4803.	
		Carlsen, W.J. and Buhrer, C.F., "Flat Passband Birefringent Wavelength-Division Multiplexers," Electronics Letters (1978) 23(3):106-107.	
WD		Wright, H., et al., "Active filters enable color imaging," Laser Focus World (May 1996) 85-90.	
	<del>                                     </del>	†	<b> </b>

Examiner Signature Considered

'EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered, Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mank here if English language Translation is attached.

This collection of information is required by 37 CFR 1,98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1,14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTC/S8/08B (02-03)
Approved for use through 04/30/2003, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE rwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

(RESE	te for form 1449/PTO				Complete if Known	
Application Number		Application Number	09/736,135	E.		
INF	ORMATION	DIS	CLOSURE	Filing Date	12/15/2000	76 C
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Johnson	<u>ري</u>
	(Use as many she		· · · · · · · · · · · · · · · · · · ·	Art Unit	2851	₹.
	(USB 25 MANY SHE	A12 62 11		Examiner Name	Dowling	
Sheet	4	of	6	Attorney Docket Number	95121961.114002	

Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T²	
MQ		Cambridge Research & Instrumentation, Inc., "Liquid Crysatal Tunable Filter," Cambridge, MA 2 pages.	
T		Displaytech, Inc. (Jan., 1996), "Switchable Color Filter", Boulder, CO, 4 pages.	
+		Title, A.M. and Rosenberg, W.J., "Tunable birefringent filters, "Opt. Eng., (1981) 20(6):815-823.	-
		Solc, Ivan, "Birefringent Chain Filters, "J. Opt, Soc. Am. (1965) 55(8):621-625.	
		Wu, Shin-Tson, "Birefringence dispersions of liquid crystals, "Physical Review A. (1986) 33(2):1270-1274.	
_		Harris, S.E., et al., "Optical Network Synthesis Using Birefringent Crystals, I. Synthesis of Lossless Networks of Equal-Length Crystal, "J. Opt. Soc. America (1964) 54(10):1267-1279.	
-		Amman, E.O., "Optical Network Synthesis Using Birefringent Crystals, III., Some General Properties of Lossless Birefringent Networks, "J. Opt. Soc America (1966) 56(7):943-951.	
		Amman, E.O. and Yarborough, J.M., "Optical Network Synthesis Using Birefringent Crystals V. Synthesis of Lossless Networks Containing Equal-Length Crystals and Compensators, "J. Opt. Soc America (1966) 56(12): 1746-1754.	_
		Sharp. G.D., et al., "P-60: Color Switching Using Ferroelectric Liquid Crystals, "Society for Information Display, International Symposium, Digest of Technical Papers, Vo., XXIV, Seattle, Washington, May 18-20, 1993.	
W		Kondo, et al., "Ferroelectric Liquid Crystal Materials Applied to Guest-Host Type Displays," Ferroelectrics (1988) 85:361-373.	

Examiner Signature Considered

"EXAMINER: Initial if reference to insidered, whether of pot citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (opeionsil). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commence, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents. Washington. DC 20231. Commissioner for Patents, Washington, DC 20231.

PTO(SB/08B (02-03)

Approved for use through 04/30/2003, GMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB correct number.

Substitute for form 1449/PTO	Application Number 09/736,135  MATION DISCLOSURE Filing Date 12/15/2000	
Constitution of the consti	Application Number	09/736,135
INFORMATION DISCLOSURE	Filing Date	12/15/2000
STATEMENT BY APPLICANT	First Named Inventor	Johnson
Altre on many chapte as passaged	Art Unit	2851
(Use as many sneeds as necessary)	Examiner Name	Dowling
Sheet 5 of 6	Attorney Docket Number	95121961.114002

= -		OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS			
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
NQ)		Billings, BH., "A Tunable Narrow-Band Optical Filter, "J., Opt. Soc. America (1947) 37:738-746.			
		Buhrer, Carl F., "Synthesis and tuning of high-order birefringent filters," Applied Optics (Apr. 20, 1994) 33(12): 2249-2254.			
		Y. Wang; "Surface Plasmon Tunable Color Filter and Display Device;" Society for Information Display International Symposium Digest of Technical Papers; vol. 28, pp. 63-66, May 1997			
$\overline{+}$		F.H. Yu and H.S. Kwok; "A New Driving Scheme for Reflective Bistable Cholesteric LCDs;" 1997 Society for Information Display Information Symposium Digest of Technical Papers; p. 659, May, 1997.			
		Y. Nakai, "A Reflective Tri-Layer Guest-Host Color TFT-LCD:" 1997 Society for Information Display International Symposium Digest of Technical Papers; p. 83, May, 1997.			
		Bernard M. Schiffman, et al., "Birefringent Filter for Millimeter Waves," IEEE Transactions on Microwave Theory and Techniques, vol. MIT-16, No. 6, Jun. 1968.	_		
		Title, A.M., "Improvement of Birefringent Filters. 2: Achromatic Waveplates," Applied Optics (Jan. 1975) 14(1):229-237.			
		McIntyre, C.M. and Harris, S.E., "Achromatic Wave Plates for the Visible Spectrum," J. Opt. Soc. of America (Dec. 1968) 58(12): 1575-1580.	-		
1,1		Koester, Charles J., "Achromatic Combinations of Half-Wave Plates," J. Opt. Soc. Of America (Apr. 1959) 49(4):405-409.	-		

Examiner Date Signature Considered

\*EXAMINER: Initial if reference considered, whether or but citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.18. This collection is estimated to take 120 minutes to complete, including pathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the includinst case. Any comments on the armount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTO/S8/08B (02-03) Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

				Complete If Known						
Substitute for fairn 144	3. 10		Application Number	09/736,135						
INFORMAT	TION DIS	CLOSURE	Filing Date	12/15/2000					12/15/2000	
STATEME	Application Number 09/736,135  NFORMATION DISCLOSURE Filing Date 12/15/2000  First Named Inventor Johnson  Art Unit 2851  Examiner Name Dowling	PPLICANT	First Named Inventor	Johnson	9, 0					
Otas as m		S. C.								
(US# as m	iany sneets as n	ecussiy)	Examiner Name	Dowling	· · · · · · · · · · · · · · · · · · ·					
Sheet 6	of	6	Attorney Docket Number	95121961.114002	89					

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
nitials*	No.	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	⊤2
WQ		Pancharatnam, S., "Achromatic Combinations of Birefringent Plates," Indian Academy Science Proceed. (1955) 41:130-136.	
		Pancharatnam, S., "Achromatic Combinations of Birefringent Plates, Part II. An Achromatic Quarter-Wave Plate," Indian Academy Science Proceed. (1955) 41:137-144.	
_	-	"Achromatic phase-shifters: 2. A quantized ferroelectric liquid-crystal system", P. Hariharan et al., 2319 Optics Communications 117 (1995) May 15, Nos. 1/2, Amsterdam, NL, pp. 13-15.	
		"Achromatic retardation plates", Alan M. Title et al., SIPE vol. 307 Polarizers and Applications (1981), pp. 120-125.	
ng		"Improvement of Birefringent Filters. 2:Achromatic Waveplates", Alan M. Title, Jan. 1975/vol. 14, No. 1/ Applied Optics, pp. 229-237.	
•			

Examiner Date Signature Considered

\*EXAMINER: initial if reference considered, whether not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (episonal). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. OO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commerce for Patents. Washington, DC 20231. Commissioner for Patents, Washington, DC 20231.